

## 根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

### 第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號	
1 宏利金融有限公司	0945	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890 及 5986)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988	

### 第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (注解1)	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2019年5月31日	2020年5月30日
2 BOCI Aisa Limited	2007年12月21日	2020年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2020年12月20日
4 Citigroup Global Markets Europe AG	2019年11月1日	2020年10月31日
5 Credit Suisse AG	2007年12月21日	2020年12月20日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2020年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2020年10月27日
8 Haitong International Securities Company Limited (注解2)	2017年3月16日	2021年3月15日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2020年12月20日
10 Macquarie Bank Limited	2008年1月1日	2020年12月31日
11 Morgan Stanley Asia Products Limited	2019年7月22日	2020年7月21日
12 SG Issuer	2016年4月1日	2021年3月31日
13 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2020年12月22日
14 UBS AG	2007年12月21日	2020年12月20日

注解1: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

注解2: 此前授予Haitong International Securities Company Limited的豁免已於2017年3月6日到期，但該豁免對其在2016年3月7日至2017年3月6日期間發行及在香港聯合交易所有限公司上市的結構性產品維持有效。

注解3: 授予Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. 及 Standard Chartered Bank的豁免已分別於2015年6月14日、2016年7月19日、2015年12月9日、2016年12月20日及2016年6月27日到期。前述法團在相關豁免

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$20,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
11 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
19 CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
20 CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21 CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22 Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
23 CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24 Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
25 Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme

26	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
27	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
28	China Cinda (2020) I Management Limited	U.S.\$2,000,000,000 Guaranteed Medium Term Note Programme
29	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
30	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
31	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
32	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
33	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
34	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
35	CICC Hong Kong Finance 2016 MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
36	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
37	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
38	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
39	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
40	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
41	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
42	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
43	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
44	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
45	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
46	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
47	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
48	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
49	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
50	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
51	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
52	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
53	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
54	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
55	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
56	HLP Finance Limited	US\$4 billion Medium Term Note Programme
57	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
58	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
59	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
60	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
61	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
62	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
63	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
64	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
65	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
66	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
67	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
68	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
69	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
70	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
71	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
72	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
73	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
74	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
75	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
76	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
77	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
78	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
79	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
80	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
81	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
82	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
83	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
84	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
85	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
86	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
87	Sinochem Offshore Capital Company Limited	US\$5,000,000,000 Global Medium Term Note Programme
88	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
89	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
90	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
91	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
92	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
93	State Grid Overseas Investment (2016) Limited	US\$10,000,000,000 Medium Term Note Programme
94	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme
95	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
96	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
97	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
98	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
99	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
100	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
101	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
102	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
103	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
104	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme

105	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
106	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
107	Wharf REIC Finance (BVI) Limited	U.S.\$3,000,000,000 Medium Term Note Programme
108	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
109	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
110	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
111	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號	
1	ABCL Glory Capital Limited	5677
2	Agricultural Development Bank of China	5180
3	Agricultural Development Bank of China	5455
4	Agricultural Development Bank of China	85737
5	Agricultural Development Bank of China	85744
6	Agricultural Development Bank of China	85901
7	Agricultural Development Bank of China	85909
8	Agricultural Development Bank of China	85910
9	Alibaba Group Holding Limited	5843
10	Alibaba Group Holding Limited	5844
11	Alibaba Group Holding Limited	5845
12	Amber Circle Funding Limited	4586
13	Amber Treasure Ventures Limited	5209
14	Amber Treasure Ventures Limited	5243
15	Anhui Provincial Investment Group Holding Co., Ltd.	5186
16	Anhui Transportation Holding Group (H.K.) Limited	5158
17	Avenue International Holding Limited	40037
18	Avenue International Holding Limited	40160
19	AVIC International Finance & Investment Limited	4497
20	AVIC International Finance & Investment Limited	5966
21	Azure Orbit III International Finance Limited	5620
22	Azure Orbit III International Finance Limited	5621
23	Azure Orbit International Finance Limited	5909
24	Bank of Communications (Hong Kong) Limited	40176
25	Baoji Investment (Group) Co., Ltd.	5492
26	BCEG (HongKong) Company Limited	5145
27	Beijing Enterprises Water Capital Management Limited	4480
28	Beijing Gas Singapore Capital Corporation	5213
29	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5520
30	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
31	Bengbu Gaoxin Investment Group Co., Ltd.	40085
32	Bengbu Gaoxin Investment Group Co., Ltd.	40131
33	Bi Hai Co., Ltd.	5185
34	Blue Skyview Company Limited	5364
35	Bluestar Finance Holdings Limited	4550
36	Bluestar Finance Holdings Limited	4556
37	Bluestar Finance Holdings Limited	5089
38	Bluestar Finance Holdings Limited	5599
39	Bluestar Finance Holdings Limited	4487
40	BNY Trust Company of Australia Limited	5766
41	BNY Trust Company of Australia Limited	40142
42	Boom Up Investments Limited	5544
43	Boxinyuan International Co., Ltd.	40036
44	BPHL Capital Management Limited	40171
45	Bright Galaxy International Limited	5927
46	Bright Scholar Education Holdings Limited	5615
47	Cable and Wireless International Finance B.V.	1077
48	Cable and Wireless International Finance B.V.	1078
49	Caiyun International Investment Limited	5947
50	CALC Bond 2 Limited	4300
51	CALC Bond 3 Limited	5390
52	CALC Bond 3 Limited	5391
53	CCB Life Insurance Company Limited	5437
54	CCBL (Cayman) Corporation Limited	5548
55	CCCI Treasure Limited	5893
56	CCCI Treasure Limited	40157
57	CCCI Treasure Limited	40158
58	CCTI 2017 Ltd	5258
59	CDBL Funding 1	5850
60	Celestial Dynasty Limited	5594
61	CELESTIAL MILES LIMITED	5706
62	Central Plaza Development Ltd.	5919
63	CGNPC International Limited	5058
64	CGNPC International Limited	5059
65	CGNPC International Limited	5153
66	CGNPC International Limited	5154
67	CGNPC International Limited	5519
68	Chalco Hong Kong Investment Company Limited	5151
69	Chalco Hong Kong Investment Company Limited	5920
70	Chalco Hong Kong Investment Company Limited	5727
71	Chalco Hong Kong Investment Company Limited	5985
72	Chalieco Hong Kong Corporation Limited	5321
73	Chalieco Hong Kong Corporation Limited	5702

74	Chalieco Hong Kong Corporation Limited	40070
75	Chang Development International Limited	5090
76	Chang Development International Limited	5744
77	Changde Economic Construction Investment Group Co., Ltd.	5642
78	Changde Urban Construction and Investment Group Co., Ltd.	5509
79	Changde Urban Construction and Investment Group Co., Ltd.	5959
80	Changsha Pilot Investment Holdings Co., Ltd.	40064
81	Charter Style International Limited	85993
82	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
83	Chengdu Communications Investment Group Corporation Limited	5062
84	Chengdu Communications Investment Group Corporation Limited	5493
85	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
86	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
87	Chengdu Hi-tech Investment Group Co., Ltd.	5206
88	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
89	Chengdu Tianfu New Area Investment Group Co., Ltd.	6011
90	Chengdu Xingcheng Investment Group Co., Ltd.	5314
91	Chenzhou Industry Investment Group Co., Ltd.	40197
92	Cheung Kong Bond Securities (02) Limited	4559
93	Cheung Kong Bond Securities (03) Limited	4595
94	Cheung Kong Infrastructure Finance (BVI) Limited	5060
95	China Bright (Hong Kong) Limited	5937
96	China Cinda Finance (2014) Limited	5748
97	China CITIC Bank International Limited	5199
98	China CITIC Bank International Limited	5728
99	China CITIC Bank International Limited	5831
100	China CITIC Bank International Limited	5992
101	China CITIC Bank International Limited	4594
102	China Clean Energy Development Limited	5568
103	China Development Bank Corporation	85904
104	China Development Bank Corporation	85905
105	China Development Bank Corporation	85934
106	China Energy Reserve and Chemicals Group Holding Limited	5639
107	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
108	China Great Wall International Holdings IV Limited	5617
109	China Great Wall International Holdings IV Limited	5620
110	China Huadian Overseas Development 2018 Limited	4491
111	China Huadian Overseas Development Management Company Limited	4533
112	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
113	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
114	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
115	China Life Insurance (Overseas) Co., Ltd	5250
116	China Merchants Finance Company Limited	4548
117	China Merchants Finance Company Limited	5554
118	China Merchants Finance Company Limited	5555
119	China Minmetals Corporation	4442
120	China Minmetals Corporation	5009
121	China Overseas Finance (Cayman) II Limited	4503
122	China Overseas Finance (Cayman) III Limited	5988
123	China Overseas Finance (Cayman) III Limited	5989
124	China Overseas Finance (Cayman) V Limited	4579
125	China Overseas Finance (Cayman) V Limited	4580
126	China Overseas Finance (Cayman) VI Limited	5746
127	China Overseas Finance (Cayman) VI Limited	5760
128	China Overseas Finance (Cayman) VII Limited	4475
129	China Overseas Finance (Cayman) VII Limited	4476
130	China Overseas Finance Investment (Cayman) V Limited	5600
131	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
132	China Railway Resources Huitung Limited	5904
133	China Railway XunJie Co., Limited	5244
134	China Railway XunJie Co., Limited	5692
135	China Resources Power East Foundation Co., Ltd.	4516
136	China Shenhua Overseas Capital Company Limited	5863
137	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
138	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
139	China Southern Power Grid International Finance (BVI) Co., Limited	4583
140	China Southern Power Grid International Finance (BVI) Co., Limited	4598
141	China State Construction Finance (Cayman) I Limited	5462
142	China State Construction Finance (Cayman) II Limited	5037
143	China State Construction Finance (Cayman) II Limited	5038
144	China State Construction Finance (Cayman) III Limited	40083
145	China Taiping Capital Limited	4582
146	China Taiping New Horizon Limited	5984
147	China Yangtze Power International (BVI) 1 Limited	5300
148	China Yangtze Power International (BVI) 2 Limited	5301
149	Chinalco Capital Holdings Limited	4301
150	Chinalco Capital Holdings Limited	5438
151	Chinalco Capital Holdings Limited	5745
152	Chiyu Banking Corporation Limited	5034
153	Chongqing Casin Group Co., Ltd	5595
154	Chongqing Energy Investment Group Co., Ltd.	5898
155	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
156	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
157	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
158	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
159	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
160	Chouzhou International Investment Limited	4542
161	Chouzhou International Investment Limited	5056

162	Chouzhou International Investment Limited	40154
163	CITIC Telecom International Finance Limited	5908
164	City Development Company of Lan Zhou	40066
165	CLP Power HK Finance Ltd	40044
166	CLP Power HK Finance Ltd.	5740
167	CLP Power Hong Kong Financing Limited	4306
168	CMHI Finance (BVI) Co., Ltd	5134
169	CMHI Finance (BVI) Co., Ltd	5135
170	CMOC Capital Limited	5722
171	CNI Capital Limited	85908
172	CNOOC Curtis Funding No. 1 Pty Ltd	5972
173	CNOOC Curtis Funding No. 1 Pty Ltd	5973
174	CNOOC Finance (2003) Limited	2568
175	CNOOC Finance (2011) Limited	4506
176	CNOOC Finance (2011) Limited	4507
177	CNOOC Finance (2012) Limited	4546
178	CNOOC Finance (2012) Limited	4547
179	CNOOC Finance (2013) Limited	5941
180	CNOOC Finance (2013) Limited	5942
181	CNOOC Finance (2013) Limited	40016
182	CNOOC Finance (2015) Australia Pty Ltd	5506
183	CNOOC Finance (2015) Australia Pty Ltd	5507
184	CNOOC Finance (2015) U.S.A. LLC	4478
185	CNOOC Finance (2015) U.S.A. LLC	4479
186	CNOOC Finance (2015) U.S.A. LLC	5508
187	CNOOC Nexen Finance (2014) ULC	5738
188	CNOOC Nexen Finance (2014) ULC	5739
189	CNPC (HK) Overseas Capital Ltd.	4513
190	CNPC (HK) Overseas Capital Ltd.	4514
191	CNPC General Capital Limited	4544
192	CNPC General Capital Limited	5923
193	COSCO Pacific Finance (2013) Company Limited	5900
194	COSL Finance (BVI) Limited	4563
195	Cosmos Boom Investment Limited	5534
196	CRCC Chengan Limited	5581
197	CRCC Yupeng Limited	5778
198	CRCC Yuxiang Limited	5945
199	CSCEC Finance (Cayman) I Limited	5574
200	CSCEC Finance (Cayman) II Limited	5232
201	CSCEC Finance (Cayman) II Limited	5233
202	CSCEC Finance (Cayman) II Limited	5669
203	CSCI Finance (2015) Co. Ltd	5564
204	CSSC Capital 2015 Limited	40145
205	CSSC Capital 2015 Limited	40146
206	CSSC Capital One Limited	5181
207	CSSC Capital Two Limited	5182
208	Dah Sing Bank, Limited	5316
209	Dah Sing Bank, Limited	5524
210	Dah Sing Bank, Limited	6021
211	Dalian Deta Holding Co., Ltd.	5608
212	Dangdai International Investments Ltd	5027
213	Dangdai International Investments Ltd	40121
214	Dawn Victor Limited	5409
215	Dianjian Haixing Limited	5816
216	Dongxing Voyage Company Limited	5643
217	Ease Trade Global Limited	4458
218	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
219	Elect Global Investments Limited	40173
220	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
221	Excel Capital Global Limited	4496
222	Expand Lead Limited	5165
223	First FZ Bond Limited	5275
224	FPC Capital Limited	5107
225	FPC Treasury Limited	5924
226	Franshion Brilliant Limited	5030
227	Franshion Brilliant Limited	5229
228	Franshion Brilliant Limited	5289
229	Franshion Brilliant Limited	5347
230	Franshion Brilliant Limited	5385
231	Franshion Brilliant Limited	5447
232	Franshion Brilliant Limited	5472
233	Fujian Zhanglong Group Co., Ltd.	5700
234	Full Dragon (Hong Kong) International Development Limited	4428
235	FWD Group Limited	4403
236	FWD Group Limited	5019
237	FWD Group Limited	5221
238	FWD Group Limited	5793
239	FWD Limited	4416
240	FWD Limited	5351
241	FWD Limited	5803
242	Gansu Province Electric Power Investment Group Co., Ltd.	5466
243	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
244	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
245	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
246	Gaoxin International Investment Co., Ltd	40081
247	Gemdale Ever Prosperity Investment Limited	4578
248	GET International Investment Holdings Limited	5381
249	GOHL Capital Limited	5352
250	GRAM International Limited	5587

251	Granda Century Limited	5152
252	Green Fortune Capital Limited	40010
253	Greenland Global Investment Limited	5769
254	Guang Ying Investment Limited	4492
255	Guangsha Holding Group Co., Ltd.	5456
256	Guangxi Communications Investment Group Corporation. Ltd	5880
257	Guangxi Financial Investment Group Co., Ltd.	5098
258	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
259	GUANGZHOU Silk Road Investment Limited	5323
260	Guofa Overseas Investment Company Limited	40098
261	Guohui International (BVI) Co., Ltd.	6002
262	Guotai Junan Holdings Limited	5853
263	Haichuan International Investment Co., Ltd.	5460
264	Haiguo Xintai Capital Limited	40011
265	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
266	Haitian (BVI) International Investment Development Limited	5061
267	Haitong International Finance 2015 Limited	5867
268	Haitong International Finance Holdings 2015 Limited	40186
269	Hangzhou Fuyang Chengtuo Group (HK) Limited	5469
270	Hanhui International Limited	5657
271	Hanrui Overseas Investment Co Ltd	5081
272	Harvest International Company	5024
273	HBIS Group Hong Kong Co., Limited	40108
274	HK Onlink Technology Co., Limited	40168
275	Hong Kong JY Flower Limited	40163
276	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
277	Hong Kong Sukuk 2015 Limited	4226
278	Hong Kong Sukuk 2017 Limited	4233
279	Hong Seng Limited	40172
280	Honghe Development Group Co. Ltd.	40215
281	Hongkong Baorong Development Limited	5465
282	Hongkong Electric Finance Limited	4505
283	Hongkong Ideal Investment Limited	40019
284	Hongkong International (Qingdao) Company Limited	5023
285	Hongkong International (Qingdao) Company Limited	5048
286	Hongkong International (Qingdao) Company Limited	5875
287	Hongkong International (Qingdao) Company Limited	40017
288	Hongkong International (Qingdao) Company Limited	40053
289	Hongkong International (Qingdao) Company Limited	40206
290	HongKong Xiangyu Investment Co., Limited	4409
291	Huafa 2019 I Company Limited	5976
292	Huafa Group 2018 I Company Limited	5150
293	Huai'an Traffic Holding Co., Ltd.	6006
294	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
295	Huaibei City Construction Investment Holding Group Company Limited	5474
296	Huaibei City Construction Investment Holding Group Company Limited	5946
297	Huaneng Hong Kong Capital Limited	5000
298	Huantaihu International investment Co., Ltd	40194
299	Huasing International Holdings Co., Limited	4448
300	Huasing International Holdings Co., Limited	4449
301	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
302	Huayi Finance I Ltd.	40042
303	HUAYUAN PROPERTY CO., LTD	5922
304	Huayuan Property Co., Ltd.	5239
305	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
306	Hunan Xiangjiang New Area Development Group Co Ltd	5223
307	Huzhou City Investment Development Group Co., Ltd.	5074
308	Huzhou Communications Investment Group Co., Ltd.	85740
309	IFC Development (Corporate Treasury) Limited	5756
310	IFC Development (Corporate Treasury) Limited	5757
311	IFC Development (Corporate Treasury) Limited	6000
312	IFC Development (Corporate Treasury) Limited	40198
313	Industrial and Commercial Bank of China (Asia) Limited	5686
314	Industrial and Commercial Bank of China (Asia) Limited	5976
315	Industrial and Commercial Bank of China (Macau) Limited	5750
316	Industrial and Commercial Bank of China (Macau) Limited	5797
317	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
318	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
319	Jiangxi Railway Investment Group Corporation	5770
320	JIC Zhixin Limited	5031
321	JIC Zhixin Limited	5032
322	Jinan Hi-tech Holding Group Co., Ltd.	5481
323	Jinan Hi-tech Holding Group Co., Ltd.	40018
324	Jinan West City Investment and Development Group Co., Ltd.	4588
325	Jinke Property Group Co., Ltd.	5292
326	Jinshine International Co., Ltd.	4451
327	Jisco SR Pearl Ltd.	5953
328	Jiuding Group Finance Co., Ltd	5246
329	Joy Treasure Assets Holdings Inc.	5901
330	Joy Treasure Assets Holdings Inc.	5903
331	Joy Treasure Assets Holdings Inc.	6027
332	Joy Treasure Assets Holdings Inc.	40000
333	King Power Capital Ltd.	5823
334	King Talent Management Limited	5026
335	Korean Reinsurance Company	5815
336	Kunming Dianchi Investment Co. Ltd.	5569
337	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
338	Kunming Rail Transit Group Co., Ltd.	40100
339	Kunming Rail Transit Group Co., Ltd.	40101
340	Kunzhi Limited	4464

341	Kunzhi Limited	5100
342	Lai Fung Bonds (2018) Limited	5087
343	Legend Fortune Limited	5128
344	Liang Shan International Development Limited	5585
345	Lingang Wings Inc	5149
346	LinJiang Investment and Development (BVI) Co., Ltd	40087
347	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
348	LS Finance (2017) Limited	4471
349	LS Finance (2022) Limited	4571
350	LS Finance (2025) Limited	5535
351	LSD Bonds (2017) Limited	5270
352	Luso International Banking Limited	5078
353	Malaysia Sovereign Sukuk Berhad	5894
354	Malaysia Sovereign Sukuk Berhad	5895
355	Malaysia Sukuk Global Berhad	5633
356	Malaysia Sukuk Global Berhad	5634
357	MCC Holding (Hong Kong) Corporation Limited	4483
358	MCC Holding (Hong Kong) Corporation Limited	5210
359	MCC Holding (Hong Kong) Corporation Limited	40188
360	Mei Nian Investment Limited	5932
361	Meilun (BVI) Limited	5625
362	Miayang Investment Holding (Group) Co., Ltd.	40039
363	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5552
364	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
365	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
366	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
367	Minmetals Bounteous Finance (BVI) Limited	40175
368	Minmetals Land Capital Limited	5933
369	Mudanjiang City Investment Group Co., Ltd.	5297
370	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
371	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
372	Nanyang Commercial Bank, Limited	5214
373	Nanyang Commercial Bank, Limited	40069
374	New Lion Bridge Company Limited	5290
375	New Momentum International Limited	5997
376	Nimble City Limited	5144
377	Nuoxi Capital Limited	4401
378	Nuoxi Capital Limited	4402
379	Nuoxi Capital Limited	5365
380	Nuoxi Capital Limited	5484
381	Nuoxi Capital Limited	5704
382	Nuoxi Capital Limited	5996
383	NWD Finance (BVI) Limited	4561
384	NWD Finance (BVI) Limited	5856
385	Oceanwide Holdings International 2015 Co., Limited	5557
386	Oceanwide Holdings International 2017 Co., Limited	5251
387	Oceanwide Holdings International Development III Co., Ltd.	4532
388	Oceanwide Holdings International Development III Co., Ltd.	5196
389	Orient Huizhi Limited	5041
390	Oriental Capital Company Limited	40025
391	OVPH Limited	5614
392	PB Issuer (No.4) Limited	5525
393	PB Issuer (No.5) Limited	40097
394	PCGI Intermediate Holdings (II) Limited	40074
395	PCGI Intermediate Limited	40040
396	PCGI Intermediate Limited	40040
397	Perfect Point Ventures Limited	4566
398	Petron Corporation	5905
399	PHBS Limited	4325
400	Phoenix Charm International Investment Limited	40058
401	Phoenix Charm International Investment Limited	40071
402	Phoenix Lead Limited	5262
403	Pingdingshan Tianan Coal Mining Co., Ltd.	6004
404	Pingdu State-owned Assets Management Co., Ltd.	40207
405	Pingxiang Urban Construction Investment Developing Company	4535
406	Pioneer Reward Limited	4530
407	Pioneer Reward Limited	40143
408	Poly Real Estate Finance Ltd	4417
409	Poly Real Estate Finance Ltd	5164
410	Poly Real Estate Finance Ltd	5916
411	Power Best Global Investments Limited	5940
412	Powerchina Real Estate Group Ltd.	5471
413	Press Metal (Labuan) Ltd.	5299
414	Prosperous Ray Limited	5994
415	Proven Honour Capital Limited	5516
416	Proven Honour Capital Limited	5641
417	Pterosaur Capital Co., Limited	6021
418	PTT Public Company Limited	2527
419	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
420	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
421	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
422	Qinghai Provincial Investment Group Co., Ltd.	4450
423	Radiant Access Limited	5205
424	Rail Transit International Investment Company Limited	5644
425	RKI Overseas Finance 2016 (B) Limited	4309
426	Rongshi International Finance Limited	5448
427	Rongshi International Finance Limited	5449
428	Rosy Delta Investments Limited	5097
429	Rugao Economic and Trade Development Company	40041

430	Rugao Economic and Trade Development Company	40133
431	SCIG International Financial Limited	5174
432	SDG FINANCE I LIMITED	4539
433	SDG FINANCE I LIMITED	5192
434	SDSC Global Finance Limited	5303
435	SDSC International Development Limited	40022
436	SF Holding Investment Limited	5130
437	SF Holding Investment Limited	40152
438	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
439	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
440	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
441	Shandong Commercial Group Co., Ltd.	5512
442	Shandong Energy Australia Pty Ltd	5248
443	Shandong Iron and Steel Xinheng International Company Limited	5063
444	Shandong Iron and Steel Xinheng International Company Limited	5470
445	Shandong Iron and Steel Xinheng International Company Limited	5601
446	Shandong Iron and Steel Xinheng International Company Limited	40003
447	Shanghai Commercial Bank Limited	5043
448	Shanghai Commercial Bank Limited	5530
449	Shanghai Electric Power Finance Limited	5558
450	Shanghai Port Group (BVI) Development Co., Limited	4587
451	Shanghai Port Group (BVI) Development Co., Limited	5734
452	Shanghai Port Group (BVI) Development Co., Limited	5741
453	Shanghai Port Group (BVI) Holding Co., Limited	5255
454	Shanghai Port Group (BVI) Holding Co., Limited	5256
455	Shangrao Investment Holdings International Company Limited	4429
456	Shangrao Investment Holdings International Company Limited	40122
457	Shanghai (Hong Kong) International Investments Limited	40009
458	Shaoxing City Investment Group Limited	5489
459	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
460	Shengzhou Investment Holdings Co., Ltd.	40119
461	Shuifa International Holdings (BVI) Co., Ltd.	5992
462	Si Fa Investment Limited	5490
463	Sichuan Communications Overseas Development Co., Ltd.	5399
464	Sichuan Gloport Investment Development Group Co., Ltd	40196
465	Sichuan Railway Investment Group Co., Ltd.	5575
466	SIHC International Capital Ltd	5176
467	SIHC International Capital Ltd	5177
468	Sino Trendy Investment Limited	4407
469	Sinochem Global Capital Co., Ltd.	5935
470	Sinochem Overseas Capital Company Limited	4501
471	Sinochem Overseas Capital Company Limited	4502
472	Sino-Ocean Land Treasure Finance I Limited	5782
473	Sino-Ocean Land Treasure Finance II Limited	5869
474	Sino-Ocean Land Treasure III Limited	5276
475	Sino-Ocean Land Treasure IV Limited	5131
476	Sino-Ocean Land Treasure IV Limited	5623
477	Sino-Ocean Land Treasure IV Limited	5712
478	Sino-Ocean Land Treasure IV Limited	40115
479	Sinosing Services Pte. Ltd.	40155
480	Sinosing Services Pte. Ltd.	40156
481	Skyland Mining (BVI) Limited	5228
482	Skysea International Capital Management Limited	4529
483	SmarTone Finance Limited	5917
484	Societe Generale	5947
485	Societe Generale	5959
486	Societe Generale	5960
487	Societe Generale	5961
488	Societe Generale	5962
489	SPIC 2016 US dollar Bond Company Limited	5324
490	SPIC 2016 US dollar Bond Company Limited	5325
491	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
492	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
493	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
494	State Grid Overseas Investment (2013) Limited	5954
495	State Grid Overseas Investment (2013) Limited	5955
496	State Grid Overseas Investment (2014) Limited	5742
497	State Grid Overseas Investment (2014) Limited	5743
498	State Grid Overseas Investment (2016) Limited	5649
499	State Grid Overseas Investment (2016) Limited	5650
500	State Grid Overseas Investment (2016) Limited	5651
501	State Grid Overseas Investment (2016) Limited	5652
502	Strategic International Group Limited	5241
503	Sunny Express Enterprises Corp.	5906
504	Sunny Express Enterprises Corp.	40030
505	Sunny Express Enterprises Corp.	40031
506	Sunny Express Enterprises Corp.	40203
507	Sunny Express Enterprises Corp.	40204
508	Sunshine Life Insurance Corporation Limited	5628
509	Sunshine Life Insurance Corporation Limited	5629
510	Suqian Economic Development (BVI) Co., Limited	40034
511	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
512	Taikang Insurance Group Inc.	5349
513	Taiyuan State-owned Investment Group Company Limited	6005
514	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
515	The Hongkong and Shanghai Banking Corporation Limited	4323
516	Tianfeng Securities Co., Ltd.	40090
517	Tianjin Lingang Investment Holding Co., Ltd.	5716
518	Tianjin Real Estate Group Co., Ltd	5286

519	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
520	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
521	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
522	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
523	Tianqi Finco Co., Ltd	5036
524	Tibet Financial Leasing Co., Ltd.	5707
525	Tongfang Aqua 2017 Limited	4454
526	Tongfang Aqua Limited	6001
527	Tongling Development Investment Group Company Limited	40153
528	Top Luxury Investment Limited	5598
529	Towngas (Finance) Limited	5749
530	Towngas (Finance) Limited	6018
531	Trade Horizon Global Limited	5133
532	Treasure Generator Limited	5709
533	Triceratops Capital Co., Limited	4408
534	Tsinghua Unic Limited	4413
535	Tsinghua Unic Limited	4414
536	Tsinghua Unic Limited	4415
537	Tuspark Forward Ltd.	4599
538	Tuspark Forward Ltd.	5143
539	Tuspark Forward Ltd.	5857
540	TVB Finance Limited	4577
541	UHI Capital Ltd.	4572
542	Unigroup International Holdings Ltd.	5597
543	Union Life Insurance Co., Ltd.	4322
544	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
545	Vertex Capital Investment Limited	5931
546	Viva Incubator Investment Management Limited	40144
547	Wakala Global Sukuk Berhad	4519
548	Wanda Group Overseas Limited	5599
549	Wanda Properties International Co. Limited	6023
550	Wanda Properties Overseas Limited	40088
551	Wanda Properties Overseas Limited	40139
552	Want Want China Finance Limited	5446
553	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
554	Wuhan Financial Holdings (Group) Co., Ltd.	5498
555	Wuhan Metro Group Co., Ltd.	5467
556	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
557	Wuhan State-owned Assets Management Limited Company	5070
558	Xi Hai An 2019 Limited	40067
559	Xi Yang Overseas Limited	4565
560	Xi'an Aerospace High-Tech Industry Development Co., Ltd	40187
561	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
562	Xi'an Qujiang Culture Holdings Co., Ltd.	40183
563	Xiangyu Investment (BVI) Co., Ltd.	5572
564	Xihui Haiwai I Investment Holdings Co., Limited	5990
565	Xin Fu (BVI) Co., Limited	5985
566	Xinqiang International Holding Limited	40099
567	Xingsheng (BVI) Company Limited	5172
568	Xingsheng (BVI) Company Limited	5606
569	Xinhu (BVI) 2018 Holding Company Limited	4569
570	Xinhu (BVI) 2018 Holding Company Limited	5497
571	Xinhu (BVI) 2018 Holding Company Limited	5889
572	Xinjiang Financial Investment Co., Ltd.	5907
573	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
574	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
575	Xinjiang Zhongtai (Group) Co., Ltd.	4567
576	Xinxing Industry Investment Company Limited	5065
577	Yancoal International Resources Development Co., Limited	4552
578	Yancoal International Resources Development Co., Limited	5424
579	Yancoal International Resources Development Co., Ltd	5461
580	Yancoal International Trading Co., Limited	5753
581	Yango (Cayman) Investment Limited	4470
582	Yangzhou Economic and Technological Development Zone Development Corporation	5513
583	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
584	Yankuang Group (Cayman) Limited	5044
585	Yankuang Group (Cayman) Limited	5705
586	Yichang High-Tech Investment Development Co., Ltd.	5495
587	Yichun Development Investment HaiTong Co., Limited	40084
588	Yihua Overseas Investment Ltd	5293
589	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	5247
590	YONGDA Investment Limited	5543
591	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
592	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
593	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
594	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
595	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
596	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
597	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
598	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
599	Zhangzhou Transportation Development Group Co., Ltd.	5584
600	Zhaobing Investment (BVI) Limited	6018
601	Zhaohai Investment (BVI) Limited	5547
602	Zhaojin Mining International Finance Limited	5851
603	Zhejiang Baron (BVI) Company Limited	5146
604	Zhengzhou Metro Group Co., Ltd.	5496
605	Zhengzhou Real Estate Group Co., Ltd.	40014
606	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063

607	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
608	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
609	Zhongtai International Bond 2017 (BVI) Company Limited	5263
610	Zhongyuan Asset Management Co., Ltd	40078
611	Zhongyuan Sincere Investment Co. Ltd.	5095
612	Zhongyuan Sincere Investment Co. Ltd.	5596
613	Zhoushan City Investment Group Corporation Limited	5487
614	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
615	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
616	Zhuji Development Limited	5480
617	Zhuzhou City Construction Development Group Co., Ltd.	4531
618	Zijin International Capital Company Limited	5188

**第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃**

名稱	聯交所 股份代號	
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806
19	Global X China Electric Vehicle ETF	2845
20	Global X China Clean Energy ETF	2809

\* 此基金為一隻合成交易所買賣基金

**第4類豁免：適用於聯交所的交易所參與者**

名稱	
1	中國創盈市場服務有限公司
2	中國投資信息有限公司

**第5類豁免：適用於屬結算參與者的結算所**

名稱	
1	中國證券登記結算有限責任公司

**根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免**

名稱	
1	Budweiser Brewing Company APAC Limited